

# *ASSESSMENT OF EMERGING AND INNOVATIVE TECHNOLOGIES AND MONITORING STRATEGIES*

---

**Karl G. Linden, Ph.D.**

**Professor of Environmental Engineering**

**Mortenson Professor of Sustainable Development**

**University of Colorado Boulder, USA**

Tzahi Cath: Colorado School of Mines  
Jason Ren: University of Colorado



University of Colorado  
Boulder

Recycled Water Research Workshop  
**CA SWRCB**  
October 27-28, 2015  
Costa Mesa, CA

# Overview

## **Goal:**

Summarize the status and potential for emerging treatment technologies and monitoring strategies for the control of CECs and for assessing treatment performance.

# Overview

- Review of a few recycled water emerging technologies
  - Osmotic MBR, Membrane distillation, METs, UV/Cl AOP
- Validation and Monitoring New Technologies
  - The case of UV disinfection as an example technology
- Questions to consider:
  - What would the advantages be of these new technologies?
  - How are these new technologies validated or proven?
  - How can the adoption of new technologies be supported?
  - What is the importance of pilot or demonstration projects for these new technologies?
  - What role can the State provide?

# Water Reclamation: Protecting Public Health

*Given our modern water quality concerns...*

- **Challenge 1:** Inactivation of pathogens
  - Protect the public from waterborne diseases
- **Challenge 2:** Removal of chemical pollutants
  - Organic and inorganic contaminants
  - No new harmful chemicals formed
- **Challenge 3:** Maintain favorable aesthetics
  - No adverse taste and odor issues
- **Result:** *Appropriate water recycling solutions*

# What is an Ideal Water Reclamation Process?

- No synthetic/harmful chemicals
- Free of unwanted byproducts
- Free of unwanted residuals
- No unintended consequences
- Sustainable materials
- Low or no energy
- Fast acting
- Easy to operate



# What It Takes to Get an Advanced Treatment Technology Accepted in the 21<sup>st</sup> Century

- **Good stuff**

- Validation procedures
- Safety factors
- Basic Research
- Sensors
- Certificates
- Mathematical models

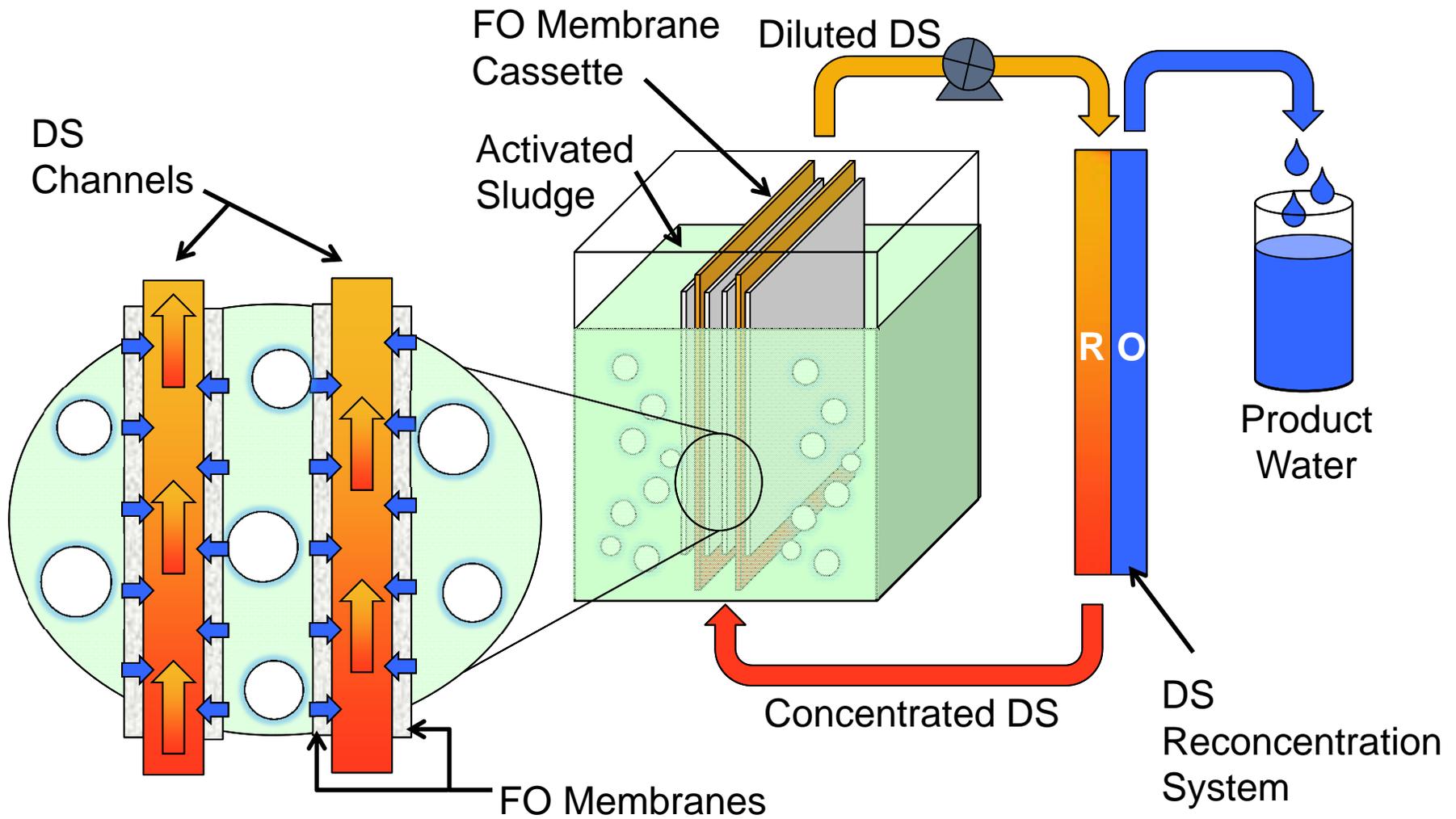
- **Bad stuff**

- Residuals
- Byproducts
- Harmful side effects
- Toxic materials
- Dangerous handling

**Require the GOOD**

**Minimize the BAD**

# Emerging Technology I: Osmotic MBR (OMBR)



Courtesy of Professor Tzahi Cath, Colorado School of Mines

# Osmotic MBR: promises and challenges

## Promises

- Removal of CECs
  - Biological and FO/RO
- Run LCA to evaluate and compare (GHG, Energy)
- Phosphorous recovery

## Challenges

- Salt accumulation
  - Reduce driving force, inhibit biological activity, fouling
- Draw solution needs development
- Verification under different oxic conditions
- Monitoring parameters to be developed

# Treatment Technology Assessment

- **Good stuff**

- Validation procedures
- Safety factors
- Basic Research
- Sensors
- Certificates
- Mathematical models

- **Bad stuff**

- Residuals
- Byproducts
- Harmful side effects
- Toxic materials
- Dangerous handling

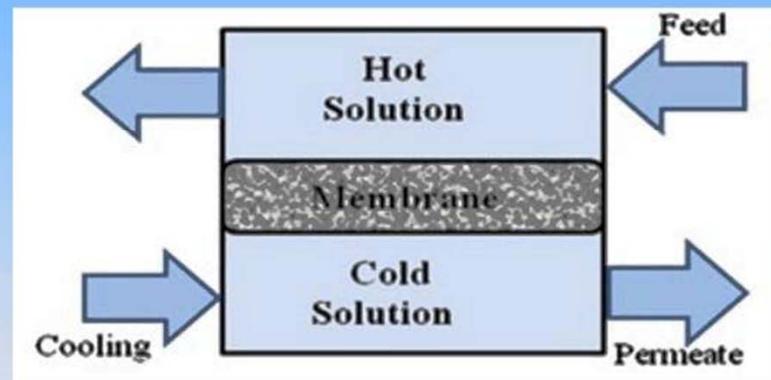
**Blue: still needs understanding**

# Emerging Technology II: Membrane Distillation

## Membrane distillation

Desalination

Food drying



Chemical separation

Waste water treatment

# Membrane Distillation–Thermophilic Bioreactor System: promises and challenges

## **Promises**

- MDBR achieved high performance regarding all water quality parameters.
- Biodegradation governed the removal of most CECs by the bioreactor.
- Physical separation by MD governed the removal of recalcitrant CECs.

## **Challenges**

- Salinity build-up occurred during MDBR operation.
- Salinity build-up could affect TN and CEC removal by the bioreactor.
- Membrane fouling and long term hydrophobicity of membranes

# Treatment Technology Assessment

- **Good stuff**

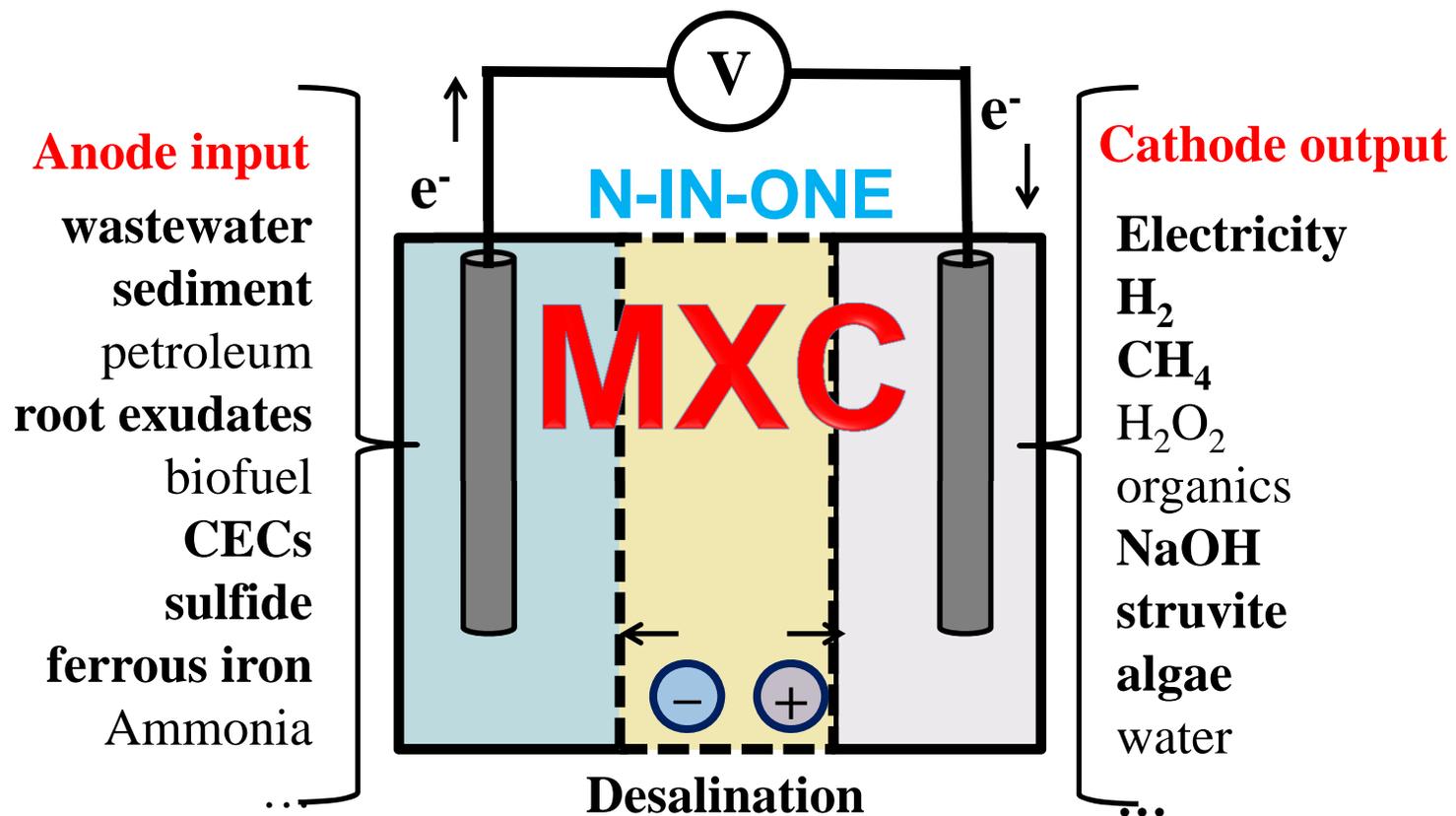
- Validation procedures
- Safety factors
- Basic Research
- Sensors
- Certificates
- Mathematical models

- **Bad stuff**

- Residuals
- Byproducts
- Harmful side effects
- Toxic materials
- Dangerous handling

**Blue: still needs understanding**

# Emerging Technology III: Microbial Electrochemical Technology



# Types of Microbial Electrochemical Technologies

<b>Main type of METs</b>	<b>Products</b>
<b>Microbial Fuel Cell (MFC)</b>	<b>Electricity</b>
<b>Microbial Electrolysis Cell (MEC)</b>	<b>H<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, NaOH, Struvite, etc. – mainly inorganic chemicals</b>
<b>Microbial Electrosynthesis (MES)</b>	<b>CH<sub>4</sub>, CH<sub>3</sub>COOH, C<sub>2</sub>H<sub>5</sub>OH, lipid, etc. - mainly organic chemicals</b>
<b>Microbial Desalination Cell (MDC)</b>	<b>Desalinated water, in combination with other functions</b>

Courtesy of Professor Jason Ren, University of Colorado

# Microbial Electrochemical Technology: promises and challenges

## **Promises**

- Energy neutral potential
- LCA: Reduce GHG
- COD removal
- Reduced Sludge production
- Power generation
- Promising for many CECs
  - Sorption, biodegradation and electrochemical oxidation
- Carbon capture

## **Challenges**

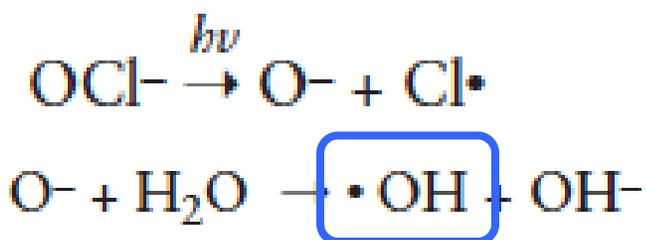
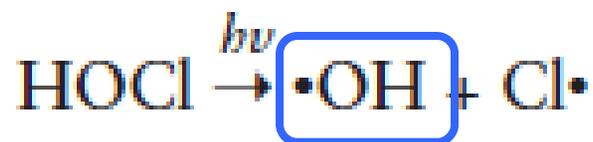
- Electrode material development
- CEC removal depends on properties of contaminants
- Fouling
- Scale up

# Treatment Technology Assessment

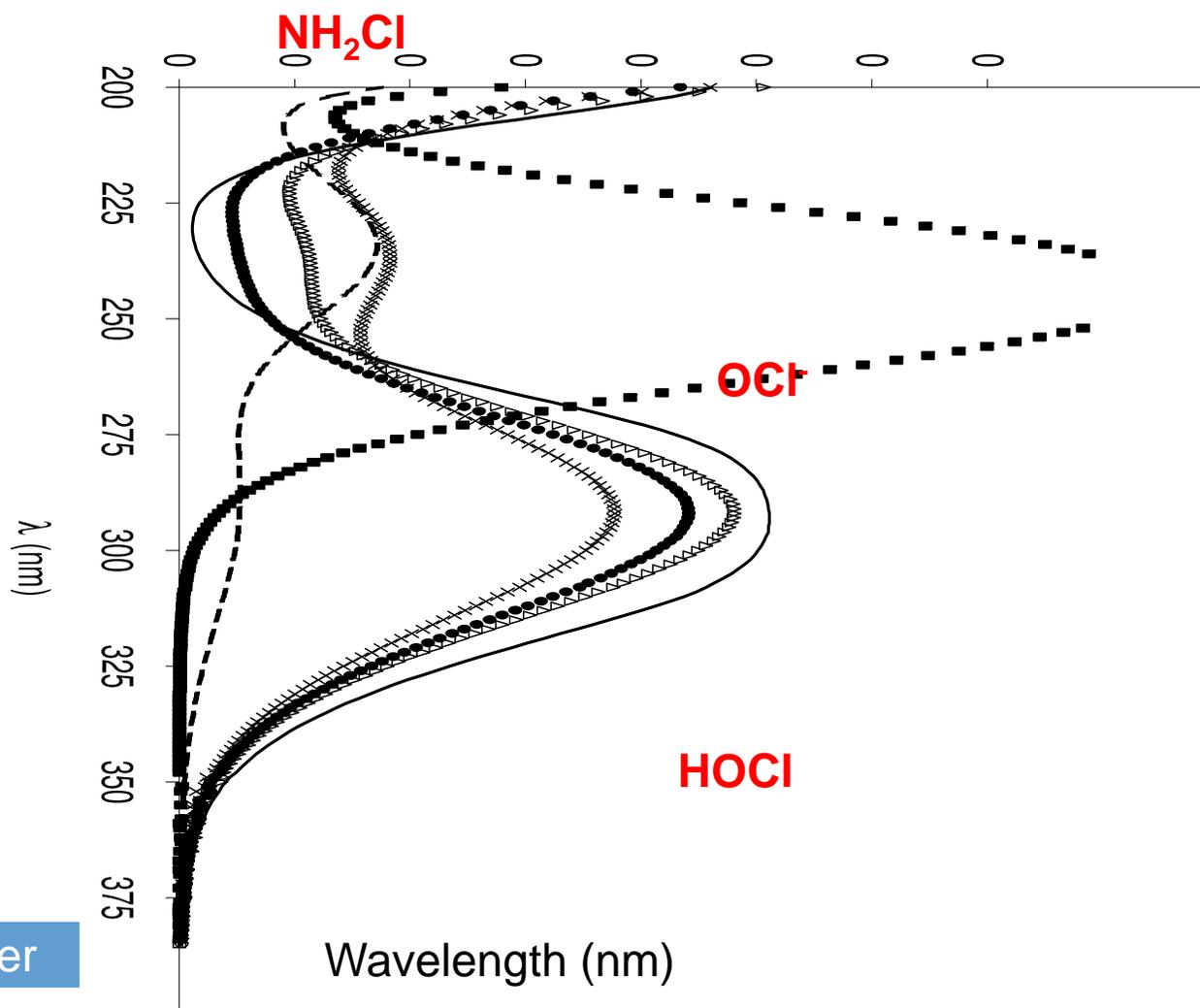
- **Good stuff**
  - Validation procedures
  - Safety factors
  - Basic Research
  - Sensors
  - Certificates
  - Mathematical models
- **Bad stuff**
  - Residuals
  - Byproducts
  - Harmful side effects
  - Toxic materials
  - Dangerous handling

**Blue: still needs understanding**

# Emerging Technology IV: UV-Chlorine AOP



OCl<sup>-</sup> is major OH radical scavenger



# Applications and Issues with UV/Cl

## Ideal at Lower pH

- After RO in reuse treatment, typically low pH

## What about Chlorinated Byproducts?

- If after RO, organic precursors not an issue

## What about Quenching?

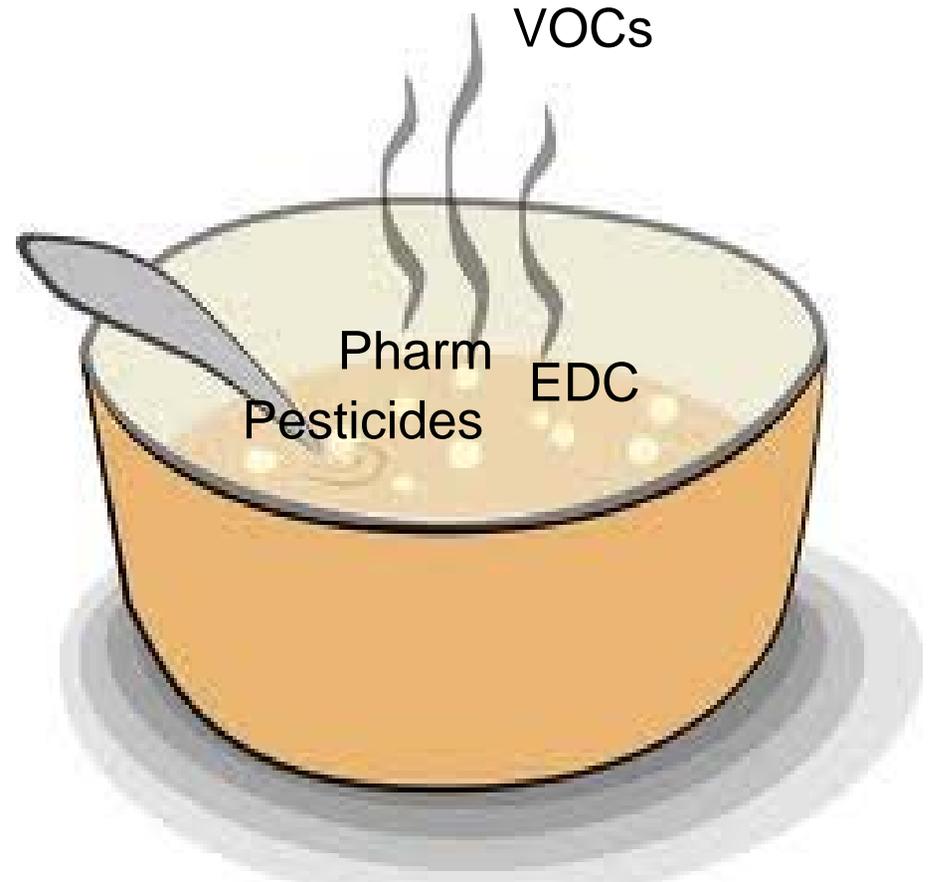
- No need to quench – often want Chlorine residual

Avoid cost of  $H_2O_2$

# Unknown Byproducts May Be Created

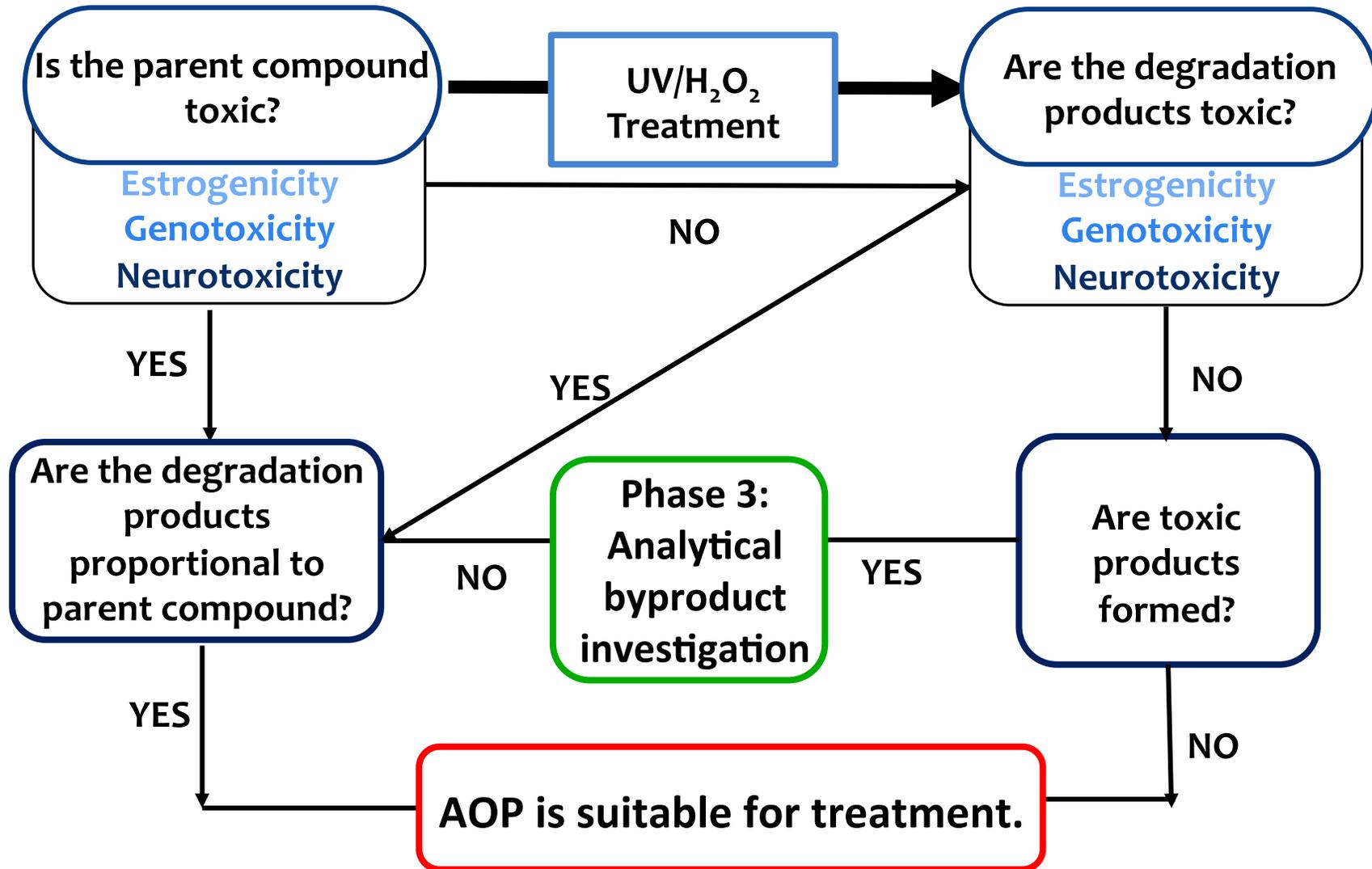


Incomplete Oxidation  
of Target Contaminant



Oxidation of Non-  
Target Contaminant

# Toxicity Screening Approach: AOP Example



From Water Research Foundation 4241: AOP for CCL3 Chemicals

# Treatment Technology Assessment

- **Good stuff**
  - Validation procedures
  - Safety factors
  - Basic Research
  - Sensors
  - Certificates
  - Mathematical models
- **Bad stuff**
  - Residuals
  - Byproducts
  - Harmful side effects
  - Toxic materials
  - Dangerous handling

**Blue: still needs understanding**

# Validating New Technologies: The Case of UV Disinfection in Water Treatment

- Disinfection
  - Sensibility: i.e., Wastewater disinfection
  - Non-chemical (chlorine), No byproducts
  - *Cryptosporidium*\*\* (and *Giardia*)
  - “Green” Technology



# UV Disinfection: Status in North America

- Increasing use in wastewater disinfection
  - Mid 1980's to present
  - > ½ of all new WW disinfection systems are UV
- Not much municipal water disinfection use before Y2000
- Thousands of small systems in use (households and non-community systems) before Y2000
- 1998: Discovery that UV is VERY effective against *Cryptosporidium* and cost effective
- Today: UV is a best available and cost effective technology for pathogen inactivation

*How did we get here?*

# UV Disinfection Research



- Fundamentals of pathogen inactivation
  - Relative to surrogates used to test UV Systems
- **Modeling** hydraulics
  - CFD, Light intensity models
- New methods for **validation**
- Examination of **byproducts**
- New UV technology evaluation and verification
  - E.g., UV LEDs
  - Statistical analyses of validation
- Applied knowledge of UV in Water Treatment



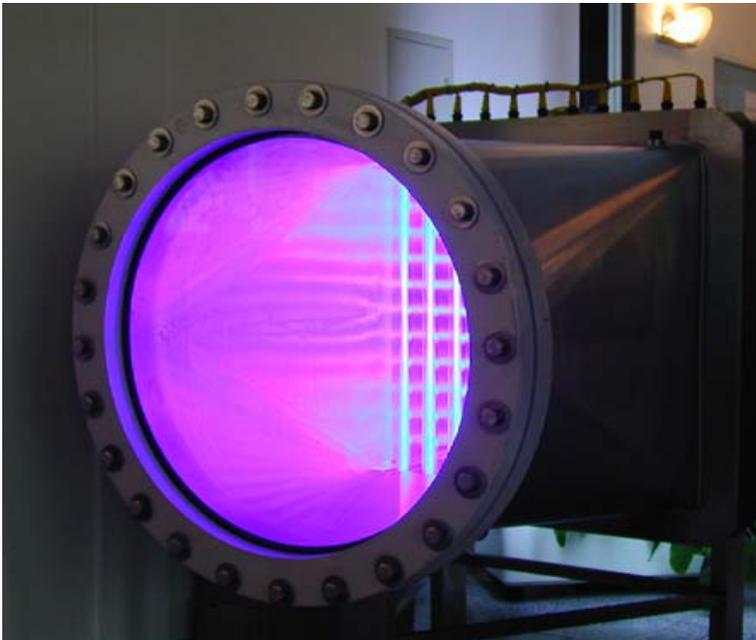
*Research is on-going but technology is accepted*

# Research Partners

- Water Research Foundation
- WaterReuse Research Foundation
- Water Environment Research Foundation
- National Science Foundation
- National Laboratories (NIST, USGS)
- Water and Wastewater Utility partners
- Industry cooperators

# Availability of UV Disinfection Is a Fundamental Premise of US Regulations

EPA recognized that UV disinfection is a new technology to the water industry and that documents needed to be developed to bridge the knowledge gap



- Performance: UV dose tables
- Validation: develop protocol
- Monitoring: set requirements
- Guidance: develop manual

# Components of USEPA Validation Protocol

Microbial Methods

UV Sensor  
Tests

Biodosimetry  
Procedures

Data Analysis

Calculation of  
Safety Factors

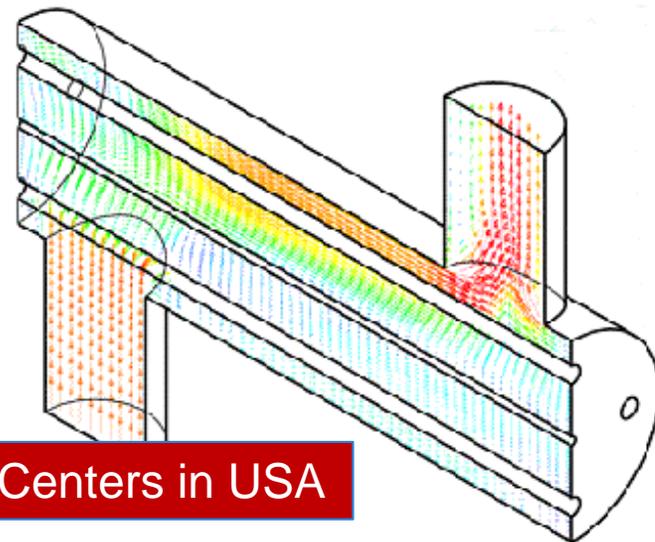
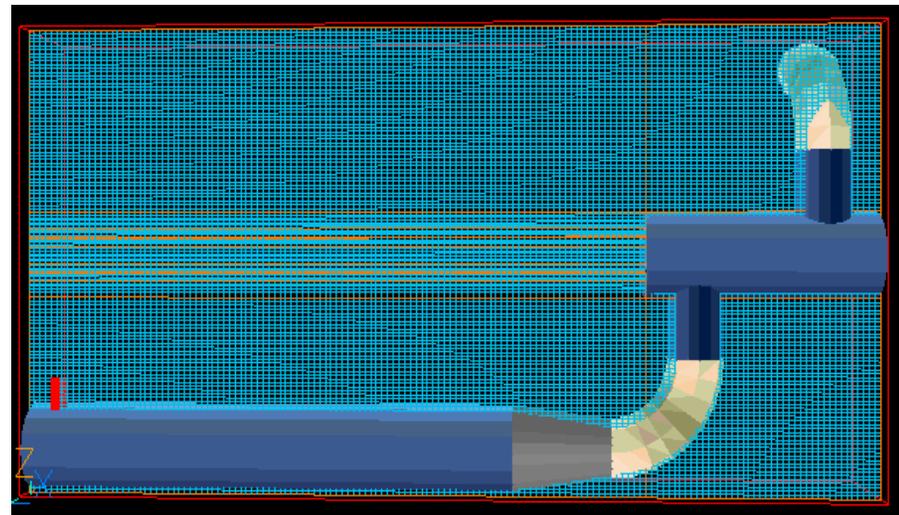
Determining  
Operating Setpoints

Determination of  
Log Credit

Inlet/Outlet Hydraulics

# Validation of UV Disinfection

- Hydraulic Validation
  - Mathematical models
  - CFD analysis
- Lamp validation
- Sensor validation
- UV Transmittance
- Biological assay validation
  - Challenge testing
- On-line dose monitoring protocol
- Uncertainty and Bias evaluations

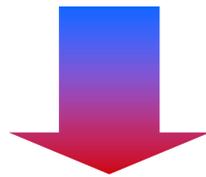


Two UV Validation Centers in USA

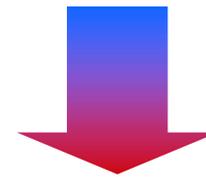
# Which way? Regulations or Guidance

**LT2ESWTR defines requirements for UV disinfection**

**UV Disinfection Guidance Manual provides guidance to meet the requirements**



**Enforceable by State Primacy Agency**



**Not enforceable unless State adopts as requirements**

# Proposed EPA UV Disinfection Specific Requirements and Recommendations

UV Doses

UV Reactor  
Validation

Off-specification  
Operation

O & M

# What is Validation?

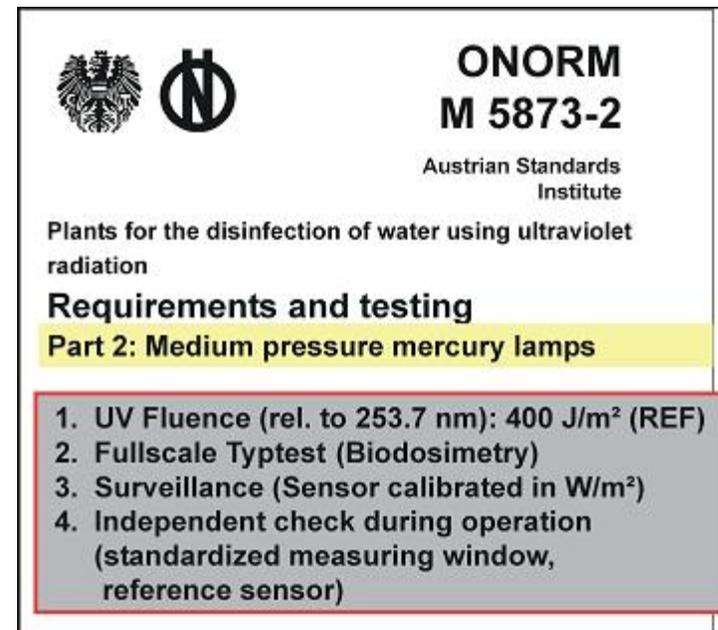
- Reactor is designed to achieve a given level of disinfection performance
  - under specific conditions
- Must verify claims made on performance
  - evaluate the reactor performance
- Specifically need to evaluate dose delivery and dose monitoring
  - monitoring provides the basis for assigning disinfection credit during operation

# Who Will Validate?

- Once a reactor is validated under a set of water quality parameters - it is good to go anywhere, within those parameters
  - Unless changes made to reactor design
- Manufacturers typically validate their reactors over a range of water quality conditions
- Site specific conditions may need revalidation
  - Depends on State regulators
- Verification/demonstration testing may be desirable
  - Verify manufacturer claims, learn about operations

# Existing Validation Protocols

- | German DVGW
- | Austrian ONORM
- | NSF Standard 55 (POE/POU)
- | NWRI/AWWARF UV Guidelines
- | US EPA Guidance Manual



LT2: “Log credit based on validated UV dose in relation to UV dose table; reactor validation testing required to establish UV dose and associated operating conditions”

# Principal Elements of a Validation Protocol

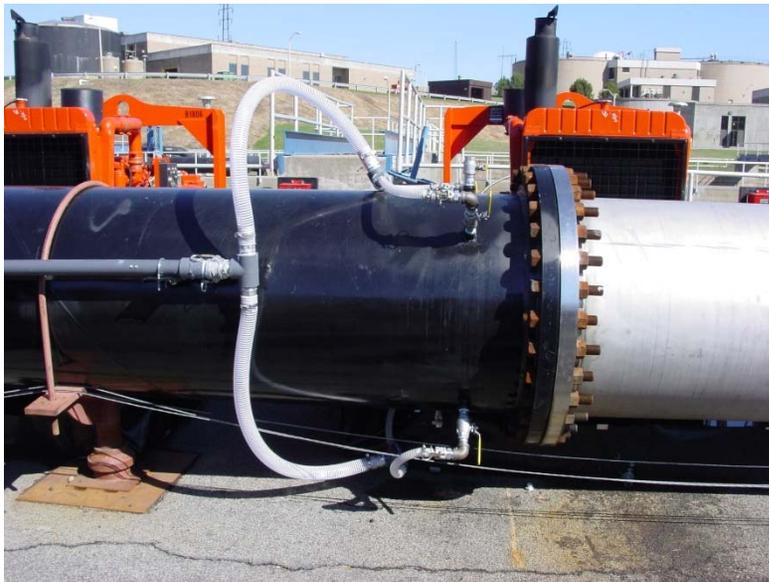
- **Documentation** of the reactor and its components to ensure it matches the system that was spec'ed out
- Measurement of **performance**
  - Disinfection or CEC removal
- Correlation of the performance with on-line monitoring: **Critical monitoring equipment**
  - Protocol for interpreting monitoring information
- **Assessment of uncertainty** and bias in the interpretation of results

# On-site vs. Off-site Validation

## The importance of a **Validation Facility**

- Off-site
  - Positives
    - At test facility (e.g. German, Austrian, NY, OR)
    - At available test site (WTP)
    - May have more flexibility for spiking chemicals/bugs
    - Experience and established protocols
  - Negatives
    - Flow rate limitations at some sites
    - Limited conditions available for piping/reactor size
    - Long wait for testing time

# NY UV Validation Facility (1)



# NY UV Validation Facility (2)

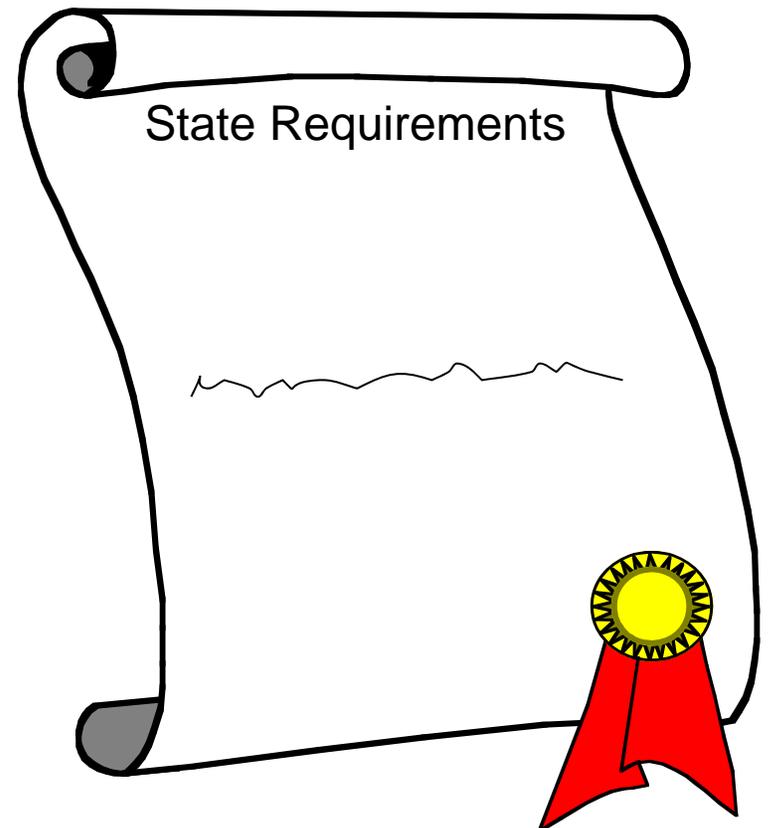


# On-site vs. Off-site Validation

- On-site
  - Positives
    - Flow rates similar to operation
    - Evaluate fouling of specific water
    - Identical inlet/outlet conditions
  - Negatives
    - Capital costs could be high for testing train
    - May have problems wasting flow with microbes/CECs
    - May not have wide range of water quality needed
    - What if the reactor does not validate?

# Real World Implications of O&M Requirements and Recommendations

- | End Goals: State approvals
- | Actual recording and reporting requirements depend on **State regulatory requirements**
  - | Off-specification parameters and volumes defined
  - | Operational parameters
  - | Calibration of key components



## Key Questions/Research Needs

- What would the advantages be of these new technologies?
- How are these new technologies validated or proven?
- How can the adoption of new technologies be supported?
- What is the importance of pilot or demonstration projects for these new technologies?
- What are appropriate monitoring strategies?
- What role can the State provide?

# *ASSESSMENT OF EMERGING AND INNOVATIVE TECHNOLOGIES AND MONITORING STRATEGIES*

---

**Karl G. Linden, Ph.D.**

**Professor of Environmental Engineering**

**Mortenson Professor of Sustainable Development**

**University of Colorado Boulder, USA**

Tzahi Cath: Colorado School of Mines  
Jason Ren: University of Colorado



University of Colorado  
Boulder

Recycled Water Research Workshop  
**CA SWRCB**  
October 27-28, 2015  
Costa Mesa, CA