

Notice of Self-Certification

That Facility Does Not Have Exposure of Industrial Activities to Storm Water

Submission of this Notice of Self-Certification (Notice) constitutes notification that (1) the facility identified on this form does not have exposure of industrial activities to storm water, and (2) the owner/operator is requesting exemption from sampling and analysis requirements. Dischargers must complete and submit this Notice and supporting documentation to the appropriate Regional Water Board office by August 1. The Regional Water Board will review the Notice and either approve or deny it. If the Notice is approved, the discharger is exempt from sampling and analysis requirements and is not required to resubmit a Notice unless instructed by the Regional Water Board. If the Notice is denied, the discharger must conduct sampling and analysis. Please note that dischargers who are not currently in compliance with the Industrial Activities Storm Water General Permit (General Permit) [i.e., dischargers who have not performed the previous years' monitoring] are not eligible for self-certification. Please type or print when completing the following sections. Additional information can be attached.

I. **WDID #** _____

The WDID # is a number assigned to each discharger under the General Permit. If you do not know your WDID #, contact the State Water Board and request it prior to submitting this Notice.

II. **OWNER/OPERATOR** (should be same as provided in Notice of Intent [NOI])*.

Name _____ Contact Person _____

Address _____ Title _____

City _____ State _____ Zip _____ Phone _____

Type of Business _____

III. **FACILITY/SITE INFORMATION** (should be same as provided in NOI)*.

Facility Name _____ Contact Person _____

Address _____ Title _____

City _____ CA. _____ Zip _____ Phone _____

*If the owner/operator or facility location has changed, additional information may be required. Please contact the State Water Board.

IV. **EVALUATION OF POTENTIAL STORM WATER POLLUTANT SOURCES**

The intent of this section is to help Regional Water Board staff review and evaluate the adequacy of your Storm Water Pollution Prevention Plan (SWPPP) and pollution control activities. It should also help you determine if all direct and indirect pathways of exposure have been evaluated. In the context of the questionnaire, "outdoor operations" are industrial operations and related activities that occur outside of buildings, but within facility premises. Please answer all questions. Answering "YES" to a question does not negate your sampling exemption request, only that the actions to eliminate the potential source must be explained in the SWPPP. For example, if there are liquid storage tanks outdoors but secondary containment is provided for, then the potential for storm water contamination may be satisfactorily eliminated.

1. All illicit (unpermitted) connections to the storm drainage system are eliminated.

	<u>Yes</u>	<u>No</u>
a. Is equipment or materials cleaned outdoors?	___	___
b. Does wash or rinse water discharge into the storm drain system?	___	___
c. Are there any discharges (other than storm water) entering the storm drain system?	___	___
d. Do any drains under roofed areas discharge to the storm drain system?	___	___
e. Has there been any accidental spills into the storm drain system in the last year?	___	___
f. Are any process waste waters disposed of outdoors?	___	___

2. All materials are completely contained at all times (materials include raw materials, by products, intermediate products, finished products, or waste materials).

	<u>Yes</u>	<u>No</u>
a. Are there any materials <u>stored</u> outdoors?	___	___
b. Are there any materials <u>handled</u> outdoors?	___	___
c. Are there any outdoor (uncovered) loading docks?	___	___
d. Are there any above ground liquid storage tanks outside?	___	___
e. Are there any outdoor loading/unloading operations?	___	___
f. Are there any products or by-products manufactured or used outdoors?	___	___
g. Are there any waste products manufactured or used outdoors?	___	___
h. Are there any outdoor waste disposal areas?	___	___

- i. Is any process wastewater disposed of outdoors? ___ ___
 - j. Are there non-liquid storage tanks, drums, pallets, or containers outside? ___ ___
 - k. Are materials handled/stored on immediate access roads/railways? ___ ___
 - l. Are vehicles maintained or fueled outside? ___ ___
 - m. Are any materials stored or disposed of in outdoor ponds or impoundments? ___ ___
 - n. Are materials stored outside temporarily? ___ ___
 - o. Does any manufacturing take place outside? ___ ___
 - p. Have there been any spills or leaks outside in the last year? ___ ___
 - q. Are there areas where materials remain outdoors from past industrial activity? ___ ___
3. All unhoued equipment associated with industrial activity is not exposed to storm water.
- | | <u>Yes</u> | <u>No</u> |
|--|------------|-----------|
| a. Are any material handling vehicles parked outdoors? | ___ | ___ |
| b. Is permanent industrial equipment located outdoors? | ___ | ___ |
| c. Is portable industrial equipment used outdoors? | ___ | ___ |
| d. Do any material handling vehicles or outdoor industrial equipment come into contact with materials? | ___ | ___ |
| e. Is there any unhoued rooftop equipment (such as air conditioners, scrubbers, etc.)? | ___ | ___ |
4. All emissions from stacks or air exhaust systems and emission of dust or particles do not contribute significant quantities of pollutants to storm water discharge.
- | | <u>Yes</u> | <u>No</u> |
|--|------------|-----------|
| a. Are there any emissions of dust or particles from stacks or air exhaust systems? | ___ | ___ |
| b. Are there any emissions of dust or particles from other outlets such as windows, loading docks, etc.? | ___ | ___ |
| c. Have there been any spills or leaks associated with maintenace of stacks or air exhaust systems? | ___ | ___ |

V. **DOCUMENT CHECKLIST**

The following documents must be submitted to the Regional Water Board in order to be considered for a Sampling and Analysis Exemption. Please check each item to verify that the document is attached to this request form.

If any of items 2-6 are already addressed in the SWPPP, please put a checkmark in the appropriate box on the left-hand side.

1. A copy of the SWPPP for the facility.
2. For questions answered YES in Section IV, enclose a summary report on how the implementation of the SWPPP, Best Management Practices (BMPs), and other compliance activities have succeeded in eliminating exposure of pollutants to storm water.
3. A history of spills and/or overflows of materials used at the facility, and how they were handled in terms of cleanup in the last two years.
4. A report on any proposed modifications to the SWPPP, particularly additional BMPs.
5. A report describing the training program provided to all personnel responsible for implementing the SWPPP; Identify dates when training was conducted.
6. A report addressing spill prevention and response techniques at areas where possible spills can enter the storm drain system.
7. Monitoring results summary for the previous wet season (Send only if not submitted with the Annual Monitoring Report).
8. A copy of the Storm Water Monitoring Program for the site.

VI. **SELF-CERTIFICATION**

I certify that all area of industrial activity are not exposed to storm water, including manufacturing, processing, disposal, and materials handling areas and areas where materials handling equipment, raw materials, intermediate products, final products, waste materials, by products and industrial machinery are stored; and that

- i. All illicit (unpermitted) connections to the storm drainage system are eliminated;
- ii. All materials are completely contained at all times;
- iii. All unhoued equipment associated with industrial activity is not exposed to storm water and;
- iv. All emissions from stacks or air exhaust systems and emissions of dust or particles do not contribute significant quantities of pollutants to storm water discharge.

Additionally, I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to ensure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the persons or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted, is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Signature

Date

Title

The Sampling and Exemption Request should be signed by, (a) For a Corporation: a responsible corporate officer (or authorized official), (b) For a Partnership or Sole Proprietorship: a general partner or proprietor, respectively, (c) For a Municipality, State, or other Non-Federal Public Agency: either a principal executive officer or ranking elected official, (d) For a Federal Agency: either the chief or senior executive officer of the agency.

FOR REGIONAL WATER BOARD USE ONLY:

	____ APPROVED	____ DENIED	____ SIGNATURE
	This request and supporting documentation are being retained at the Regional Water Board office.		
	This request and supporting documentation are being returned to the applicant for record keeping.		

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