



Terry Tamminen  
Secretary for  
Environmental  
Protection

# State Water Resources Control Board

Division of Water Quality, Water Quality Certification Unit

1001 I Street, 15<sup>th</sup> Floor, Sacramento, CA 95814



Arnold Schwarzenegger  
Governor

## CLEAN WATER ACT §401 WATER QUALITY CERTIFICATION APPLICATION FORM

(Use only for multi-regional projects, otherwise use the appropriate Regional Board application form)

### 1. APPLICANT/AGENT INFORMATION

a) Applicant:	b) Agent <sup>1</sup> :
Address:	Address:
Phone No.	Phone No.
Fax No.	Fax No.
E-mail Address:	E-mail Address:
Have you previously contacted the Regional Board staff regarding this project? If 'yes' provide information on date, person, and brief summary of subject matter.	

### STATEMENT OF AUTHORIZATION

I hereby authorize \_\_\_\_\_ to act in my behalf as my agent in the processing of this application, and to furnish upon request, supplemental information in support of this permit application.

\_\_\_\_\_  
Applicant's Signature

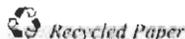
\_\_\_\_\_  
Date

<sup>1</sup>Complete only if applicable

### 2. PROJECT DESCRIPTION

a) Project Title:
b) Project Purpose:
c) Project Activities:
d) Proposed Schedule (start-up, duration, and completion dates):

California Environmental Protection Agency



Our mission is to preserve and enhance the quality of California's water resources for the benefit of present and future generations.

**3. FEDERAL LICENSES/PERMITS**

a) Federal Agency(ies)/File Number(s):  
 U.S. Army Corps of Engineers \_\_\_\_\_ Other \_\_\_\_\_  
 File No.(s) (if known) \_\_\_\_\_

b) Permit Type(s) (please provide permit number(s) if known):  
 Nationwide Permit No.(s) \_\_\_\_\_ Regional General Permit No.(s) \_\_\_\_\_  
 Individual Permit \_\_\_\_\_ Other \_\_\_\_\_

c) Does the project require any Federal Application(s), Notification(s) or Correspondence?  
 Yes \_\_\_\_\_ (attach copy[ies]) No \_\_\_\_\_ (attach detailed explanation)

d) Provide copies of the license/permit/application.

**4. OTHER LICENSES/PERMITS/AGREEMENTS**

a) Please list all other required, including local regulatory approvals (submit final or draft copy if available). Include information on any De-watering, NPDES, and Storm Water permits.

Agency	License/Permit/Agreement	Permit No.	Approval Date

b) Does the project require a Federal Energy Regulatory Commission (FERC) license or amendment to a FERC license?  
 No \_\_\_\_\_ Yes \_\_\_\_\_ (attach application copy)

**5. CALIFORNIA ENVIRONMENTAL QUALITY ACT (CEQA)**

Indicate CEQA Document (submit final or draft copy if available\*):

Type of CEQA Document	Date of filing of Notice of Exemption/ Preparation and Name of Lead Agency
Statutory Exemption/Class Title	
Categorical Exemption/Class Title	
Negative Declaration	
Mitigated Negative Declaration	
Environmental Impact Report	

Note: Ample time must be provided to the certifying agency to properly review a final copy of valid CEQA documentation before certification can occur.

**6. APPLICATION FEE**

Provide an initial deposit of \$500.00 for the application. Please write a check made out to the State Water Resources Control Board.  
 Is a check enclosed? Yes \_\_\_\_\_ No. \_\_\_\_\_ Check Number \_\_\_\_\_ Amount \$ \_\_\_\_\_

**7. PROJECT SITE DESCRIPTION – GENERAL (Include areas outside of US waters)**

a) Project Location (attach map of suitable quality and detail):  
 City or Area \_\_\_\_\_ County \_\_\_\_\_  
 Longitude/Latitude \_\_\_\_\_

b) Total Project Size: \_\_\_\_\_ acres \_\_\_\_\_ linear feet (if appropriate)

c) Site description of the entire project area (including areas outside of jurisdictional water of the US):

**8. WATER BODY IMPACT**

a) **Water Body Name( s)<sup>2</sup>:**  
 Clearly indicate on a published map of suitable detail, quality, and scale (1:24K) to allow the certifying agency to easily identify the area(s) and water body(ies) receiving any discharge.

b) **Fill and Excavation:** Indicate in ACRES and LINEAR FEET the proposed waters to be impacted, and identify the impacts(s) as permanent and/or temporary for each water body type listed below:

Water Body Type	Permanent Impact		Temporary Impact	
	Acres	Linear Feet	Acres	Linear Feet
Wetland <sup>3</sup>				
Streambed				
Lake/Reservoir				
Ocean/Estuary/Bay				
Riparian				
Isolated Waters <sup>4</sup>				

Provide the name, title, and affiliation of person that carried out wetland delineation.

c) **Dredging:** Volume (cubic yards) of dredged material to be discharged in waters of the United States.

e) **SWANCC:** Is the water body isolated (SWANCC-related)? Yes \_\_\_\_\_ No \_\_\_\_\_

d) Provide information on the Q<sub>2</sub>, Q<sub>10</sub>, Q<sub>100</sub> for pre- and post-project implementation.

e) Indicate type(s) of material proposed to be discharged in waters of the United States:

<sup>2</sup>Both US Army Corps of Engineer’s jurisdictional- and non-jurisdictional or isolated waters (SWANCC).

<sup>3</sup>Per US Army Corps of Engineer’s wetland delineation protocol.

<sup>4</sup>SWANCC-related (isolated) water body.



**9. COMPENSATORY MITIGATION (Please complete attached Mitigation Checklist)**

- a) Is compensatory mitigation proposed?      Yes \_\_\_\_\_ No \_\_\_\_\_
- b) Indicate in ACRES and LINEAR FEET (where appropriate) the total quantity of waters of the United States proposed to be Created, Restored and/or Enhanced for purposes of providing Compensatory Mitigation:

Water Body Type	Created	Restored	Enhanced	Set Aside for Protection
Wetland				
Streambed				
Lake/Reservoir				
Ocean/Estuary/Bay				
Riparian				
Isolated Waters				

- c) If contributing to a Mitigation Bank provide the following:

Mitigation Bank Name:  
 Name of Mitigation Bank Operator:  
 Office Address of Operator/Phone Number:  
 Mitigation Bank Location (Latitude/Longitude, County, and City):  
 Mitigation Bank Water Body Type(s):  
 Mitigation Area (acres or linear feet) and cost (dollar):

- d) Provide/attach a map with suitable detail, quality, and scale (1:24K) that will easily provide information as to the location(s) and water body(ies) of the mitigation area.

**10. THREATENED/ENDANGERED SPECIES**

- a) Does the project require coordination with the US Fish and Wildlife Service or National Marine Fisheries Service under the Federal Endangered Species Act?  
 Yes \_\_\_\_\_ (provide copies of Biological Report)      No \_\_\_\_\_ (provide basis of determination)
- b) Does the project require coordination with the State of California Department of Fish and Game under the California Endangered Species Act?  
 Yes \_\_\_\_\_ (provide copies of Biological Report)      No \_\_\_\_\_ (provide basis of determination)

**11. OTHER ACTIONS/BEST MANAGEMENT PRACTICES (BMPs)**

Briefly describe other actions/BMPs to be implemented to Avoid and/or Minimize impacts to waters of the United States, including preservation of habitats, erosion control measures, project scheduling, flow diversions, etc.



**12. PAST/FUTURE PROPOSALS BY THE APPLICANT**

Briefly list/describe any projects carried out in the last 5 years or planned for implementation in the next 5 years that are in any way related to the proposed activity or may impact the same receiving body of water. Include estimated adverse impacts.

\_\_\_\_\_  
Applicant's Signature (or Agent)

\_\_\_\_\_  
Date

For further information please  
email: [wqcert@swrcb.ca.gov](mailto:wqcert@swrcb.ca.gov)

**California Environmental Protection Agency**



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*Our mission is to preserve and enhance the quality of California's water resources for the benefit of present and future generations.*