

EXHIBIT I

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California Integrated Water Quality System Project (CIWQS)

Violation Report (Detailed)

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SEARCH CRITERIA: [\[REFINE SEARCH\]](#) [\[NEW SEARCH\]](#) [\[GLOSSARY\]](#)

Status Violation, Group By REGION, Program (NPDUNILRG,NPDUNIOTH), Occurred on or after 01/01/2010, Occurred on or before 12/31/2015

DRILLDOWN HISTORY: [\[BACK TO SUMMARY\]](#) [\[BACK TO VIO FACILITIES\]](#)

Region 3, Facility South San Luis Obispo SD WWTP

Violation ID	Violated Order	Violation Type	Date	(+) Description	Corrective Action	Source	Classification/Priority
870758	R3-2004-0050	Other Effluent Violation	03/12/2010	Effluent fecal coliform violation. The daily maximum permit limit is 2000 MPN an	Chlorine controller malfunction (apparently a long-term problem). Controller repaired and sampling increased to ensure compliance.	Report	N
873198	R3-2009-0046	Deficient Monitoring	04/18/2010	Discharger failed to provide effluent fecal coliform results for the dates of Ap	Laboratory error.	Report	N
878403	R3-2009-0046	Deficient Monitoring	06/13/2010	Per a RWQCB directive, we are supposed to monitor for fecal coliforms daily. Du	Operator retrained.	eSMR	3
880247	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	07/01/2010	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) 30-Day Average limit is 40 m	Cleaning Fixed Film Reactor (FFR, part of the secondary biological treatment system) with caustic soda to reduce infestation of bugs.	eSMR	2
880248	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	07/01/2010	Total Suspended Solids (TSS) 30-Day Average limit is 40 mg/L and reported value	Trickling filter flushed to reduce larvae growth.	eSMR	2
883611	R3-2009-0046	Order Conditions	05/04/2010	WWTP being operated without an Operation & Maintenance manual in violation of Or	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	2
883612	R3-2009-0046	Order Conditions	05/04/2010	WWTP being operated without Standard Operating Procedures in violation of Order	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	2
883613	R3-2009-0046	Order Conditions	05/04/2010	WWTP being operated without written compliance sampling procedures in violation	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	2
883614	R3-2009-0046	Order Conditions	05/04/2010	Failure to retain monitoring data for the minimum 3 years required in violation	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	2
883615	R3-2009-0046	Other Water Code Sections	05/04/2010	Failure to report changes in plant operations, certified operators, and discipli	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	2
883619	R3-2009-0046	Deficient Monitoring	05/04/2010	Discharger purposely avoided collecting representative samples in violation of O	Discharger required to submit plan of corrective action by 8/13/2010.	Inspection	1

888927	R3-2009-0046	Deficient Monitoring	11/29/2010	Total Coliform - Missed one weekly monitoring event.	Operator was verbally counseled by Plant Superintendent to follow the Monitoring Calendar.	eSMR	3
889494	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	12/15/2010	Total Suspended Solids (TSS) Daily Maximum limit is 90 mg/L and reported value w	Having no identified cause of the exceedence, and reviewing the very satisfactory results obtained during the remainder of the month, no corrective action was taken. Effluent Suspended Solids results since the Dec 15th date have continued to provide results in the 20-30 mg/l range.	eSMR	2
892885	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	01/31/2011	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) Daily Maximum limit is 90 mg	preventing ducks from landing in clarifier by installing colored line above clarifier	eSMR	2
892779	R3-2009-0046	Deficient Reporting	02/01/2011	late report	Added redundancy in LRO staffing for report submittal and certification	eSMR	2
892780	R3-2009-0046	Deficient Monitoring	02/01/2011	Missed Annual Monitoring for multiple pollutants.	Superintendent to review results one week after recieved Plant to sample twice in 2011	eSMR	2
907286	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	07/31/2011	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) Monthly Average limit is 40	Discharger has been evaluating and modifying process controls while conducting additional monitoring to identify the reasons for this and similar violations for both BOD and TSS. This is an ongoing investigation. Discharger is implementing duplicate sampling (for analysis at two labs) and modifying secondary treatment processes to identify source of problem and lower BOD numbers. Recent flushing and renetting of secondary treatment unit (fixed film reactor) may be source of problem. Age of system and lack of secondary treatment system redundancy are also problematic.	eSMR	3
908826	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	08/20/2011	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) Weekly Average limit is 60 m	Discharger is implementing duplicate sampling (for analysis at two labs) and modifying secondary treatment processes to identify source of problem and lower BOD numbers. Recent flushing and renetting of secondary treatment unit (fixed film reactor) may be source of problem. Age of system and lack of secondary treatment system redundancy are also problematic.	eSMR	2
908827	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	08/31/2011	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) Monthly Average limit is 40	Discharger is implementing duplicate sampling (for analysis at two labs) and modifying secondary treatment processes to identify source of problem and lower BOD numbers. Recent flushing and renetting of secondary treatment unit (fixed film reactor) may be source of problem. Age of system and lack of secondary treatment system redundancy are also problematic.	eSMR	2
911791	R3-2009-0046	Category 1 Pollutant (Effluent Violation for Group 1 Pollutant)	09/10/2011	Biochemical Oxygen Demand (BOD) (5-day @ 20 Deg. C) Weekly Average limit is 60 m	Continue to adjust secondary treatment system and test duplicate samples at three differnet labs.	eSMR	3
912961	R3-2009-0046	Deficient Monitoring	10/19/2011	Failed to grab turbidity sample	grabbed turbidity sample twice following week. All grabbed samples were in compliance, well below the limit of 75 NTus.	eSMR	3
928921	R3-2009-0046	Sanitary Sewer Overflow/Spill	12/19/2010	SSO violated Proh G prohibiting overflow or bypass.		Report	1
928923	R3-2009-0046	Sanitary Sewer Overflow/Spill	12/19/2010	SSO violated Provision VI.C.8 for failure to collect and treat stormwater flows		Report	1
928924	R3-2009-0046	Sanitary Sewer Overflow/Spill	12/19/2010	SSO violated Std. Provision Attach D-1.B.2 for failure to protect treatment faci		Report	1
930699	R3-2009-0046	Other Effluent Violation	06/06/2012	Fecal Coliform Daily Maximum limit is 2000 MPN/100 mL and reported value was 130	Operators suspect may have been contaminated sample equipment. Additional samples were the following day and were within permit limits. Permitting staff recommends no further action at this time.	eSMR	3

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Violation ID: An auto-generated unique identifier. Violations from our SMARTS database have a leading "S."

Violated Order: This is the Board Order related to the instance of noncompliance.

Violation Type: This is used to differentiate violations by the use of categories. Violations coming from our SMARTS database have "SW" in the type name

Deficient Monitoring Monitoring is missing or incorrect in some way, such as incorrect analysis method, wrong sampling location, QA/QA criteria not met, etc.

Basin Plan Prohibition Violation of a Basin Plan prohibition not in the permit (e.g., discharge to prohibited zone, etc.)

Best Management Practice (BMP) BMPs not maintained, deficient, or not implemented. Also "SW - Deficient BMP Implementation."

Enforcement Action	Enforcement Action is violated (e.g., if interim limits in a Time Schedule Order are exceeded).
Fees	Annual fees not paid on time or in full.
SW - Failure to Obtain Permit	Failure to obtain coverage under the appropriate storm water NPDES permit.
SW - Unauthorized NSWD	A non-storm water discharge with the appropriate permit/WDRs or without coverage under a General Order or discharges not covered by the discharger's Order
Order Conditions	Violations of prohibitions, provisions, and maintenance-type requirements (e.g., pond freeboard) contains in the permit/WDRs.
Pretreatment	Failure to adequately implement the pretreatment program.
SW - Incomplete/Insufficient SWPPP	Storm Water Pollution Prevention Plan (SWPPP) not on site or not implemented.
Unauthorized Discharge	Dischargers without permit/WDRs or without coverage under a General Order or discharges not covered by the discharger's Order
Deficient Reporting	Incomplete report or failing to notify Water Board of violations
Failure to Notify	Failure to notify the Water Board of a spill within a defined period of time.
Late Report	Report is not received or received after its due date.
Acute Toxicity (ATOX)	Violation of an acute toxicity effluent limit.
Chronic Toxicity (CTOX)	Violation of an chronic toxicity effluent limit.
Category 1 (CAT1)	Violation of an effluent limitation for a Group II Pollutant (e.g., chlorine, copper, cyanide, etc.) A full list is available in Appendix D of the current Enforcement Policy.
Category 2 (CAT2)	Violation of an effluent limitation for a Group I Pollutant (e.g., BOD, TSS, nitrate, etc.) A full list is available in Appendix C of the current Enforcement Policy.
Other Effluent Violation (OEV)	Violation of any constituent-specific effluent limit not included in Group I or II (e.g., pH, turbidity, temperature, coliform, etc.)
Receiving Water - Groundwater	Violation of receiving water limit where discharge is to surface water.
Receiving Water - Surface Water	Violation of receiving water limit where discharge is to groundwater.
Hydromodification	Noncompliance with dredge and fill requirements.
Sanitary Sewer Overflow/Spill	Discharge from collection system (except private laterals); other spills and/or bypassing of treatment unit(s).

Date: This is the date the violation occurred.

Status: Depending on which report the user is viewing, this will either be "violation" or "dismissed." Dismissed violation were at one time believed to be violations (or system-generated), but were later dismissed by Water Board staff.

Description: This is the description of the violation and can be either inputted manually or by the system.

Source: This is where or how the violations was determined (e.g., inspection, report, complaint, etc.)

More information about violations can be found in the Water Quality [Enforcement Policy](#)

The current report was generated with data as of: 04/13/2015
Regional Boards are in the process of entering backlogged data.
As a result, data may be incomplete.

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Violation Report (Facilities)

SEARCH CRITERIA:

Status Violation, Group By REGION, Program (NPDESWW,NPDMUNILRG,NPDMUNIOTH,WDRMUNILRG), Occurred on or after 05/01/2010, Occurred on or before 04/28/2015

DRILLDOWN HISTORY:

Region 3

Facility	Agency	Violations	Class 1 Violations	Class 2 Violations	Class 3 Violations	Priority Violations
<u>Ridgemark Estates WWTP</u>	<u>Sunnyslope CWD</u>	563	0	329	225	0
	<u>Ca Dept of Corrections San Luis</u>					
<u>California Men's Colony WWTP</u>	<u>Obispo</u>	415	1	221	176	0
<u>Lompoc Pen WWTP</u>	<u>US Penitentiary</u>	408	3	13	392	0
<u>Paso Robles WWTP</u>	<u>City of El Paso de Robles</u>	249	0	161	58	0
<u>Mission Hills La Purisima WWTP</u>	<u>Mission Hills CSD</u>	161	0	160	1	0
<u>Nipomo CSD Southland Treatment Facility</u>	<u>Nipomo CSD</u>	115	0	1	114	0
<u>Solvang WWTP</u>	<u>Solvang City</u>	88	0	85	3	0
<u>Soledad Sewage Treatment Plant</u>	<u>Soledad City</u>	81	0	12	69	0
	<u>California American Water Company</u>					
<u>Spreckels Sugar Division</u>	<u>Monterey</u>	74	0	50	24	0
<u>Woodlands Mutual Water Co</u>	<u>Woodlands Mutual Water Company</u>	63	0	53	10	0
<u>Canada Woods Reclamation Facility</u>	<u>Canada Woods Reclamation Facility</u>	60	0	10	47	0
<u>San Juan Bautista WWTP</u>	<u>San Juan Bautista City</u>	57	0	57	0	0
<u>San Luis Obispo WWTP</u>	<u>San Luis Obispo City</u>	57	0	15	40	0
<u>Avila WWTP</u>	<u>Avila Beach CSD</u>	56	0	24	19	0
<u>Camp Roberts Main (West) Garrison WWTP</u>	<u>California National Guard</u>	49	0	16	32	0
<u>Laguna County SD</u>	<u>Laguna Sanitation</u>	49	0	34	13	0
<u>El Estero WWTP NPDES</u>	<u>Santa Barbara City PWD</u>	48	0	12	36	0
	<u>California American Water Company</u>					
<u>Oak Hills Development WWTP</u>	<u>Monterey</u>	42	0	0	42	0
<u>Nipomo CSD Black Lake - Reclaimed Water Producer</u>	<u>Nipomo CSD</u>	42	0	40	2	0
<u>California Utilities</u>	<u>California Utilities Service</u>	37	0	29	8	0
<u>Guadalupe WWTP</u>	<u>Guadalupe City</u>	32	0	6	26	0
<u>South San Luis Obispo SD WWTP</u>	<u>South San Luis Obispo CSD</u>	32	4	17	9	0
<u>Big Basin Redwoods SP WWTP</u>	<u>Ca Dept of Parks & Rec Tahoe</u>	26	0	11	9	0
<u>Goleta SD Reclaimed Water Producer</u>	<u>Goleta SD</u>	25	0	3	22	0
<u>El Estero Reclamation Facility</u>	<u>Santa Barbara City PWD</u>	24	0	1	21	0
<u>Lompoc Regional WRP</u>	<u>Lompoc City</u>	24	0	20	3	0
<u>San Miguel SD WWTP</u>	<u>San Miguel CSD</u>	17	0	17	0	0
<u>Heritage Ranch WWTP</u>	<u>Heritage Ranch CSD</u>	17	0	6	10	0
<u>Salinas Industrial WWTP</u>	<u>Salinas City</u>	11	0	0	11	0
<u>Ragged Point Inn Motel</u>	<u>Ragged Point Inn</u>	9	0	2	5	0
<u>Carpinteria SD WWTP</u>	<u>Carpinteria SD</u>	8	0	6	2	0
<u>Atascadero WWTP</u>	<u>Atascadero City</u>	8	0	1	7	0
<u>Carmel Valley Ranch WWTP (Producer)</u>	<u>California American Water Company</u>					
	<u>Monterey</u>	8	0	5	3	0
	<u>Cuyama Community Services</u>					
<u>Cuyama CSD WWTP</u>	<u>District</u>	8	0	3	4	0
<u>Hollister Domestic WWTP</u>	<u>Hollister City</u>	7	0	6	1	0
<u>Cambria CSD WWTP</u>	<u>Cambria CSD</u>	7	0	7	0	0
	<u>San Luis Obispo CSA #18 San Luis</u>					
<u>Country Club Estates</u>	<u>Obispo Country Club</u>	6	0	0	6	0

California Integrated Water Quality System (CIWQS) - Printer Friendly View

<u>California American Water Company</u>						
<u>Las Palmas Ranch WWTP</u>	<u>Monterey</u>	6	0	5	1	0
<u>Boulder Creek Golf & Cntry Club WWTP</u>	<u>Santa Cruz CSA 07</u>	6	0	5	1	0
<u>Scotts Valley WWTP</u>	<u>Scotts Valley City</u>	6	0	3	2	0
	<u>US Army Garrison Fort Hunter</u>					
<u>FT. Hunter Liggett WWTP</u>	<u>Liggett</u>	6	0	1	5	0
<u>Vista DEL Mar Union School</u>	<u>Vista Del Mar USD</u>	6	0	0	6	0
<u>Montecito SD WWTP</u>	<u>Montecito Sanitary District</u>	5	0	3	2	0
<u>San Simeon WWTP</u>	<u>San Simeon CSD</u>	5	1	2	0	0
<u>Santa Maria WWTP</u>	<u>Santa Maria City</u>	4	0	4	0	0
<u>Cambria Advanced Water Treatment System</u>	<u>Cambria CSD</u>	4	0	4	0	0
<u>MRWPCA Reg Trlmt & Outfall Sys</u>	<u>Monterey Regional WPCA</u>	3	0	1	2	0
<u>Goleta SD WWTP</u>	<u>Goleta SD</u>	3	0	1	2	0
<u>Scotts Valley WWTF Producer</u>	<u>Scotts Valley City</u>	3	0	0	3	0
<u>Pfeiffer Big Sur State Park WWTF</u>	<u>Ca Dept of Parks & Rec Big Sur</u>	2	0	2	0	0
<u>Carmel Area WWTP</u>	<u>Carmel Area Wastewater District</u>	2	0	1	1	0
<u>Watsonville WWTP</u>	<u>Watsonville City</u>	1	0	1	0	0
<u>Greenfield WWTP City of</u>	<u>Greenfield City</u>	1	0	0	1	0
<u>Monterey CSA - Chualar WWTP</u>	<u>Monterey CSA - Chualar</u>	1	0	1	0	0
<u>Morro Bay/Cayucos WWTP</u>	<u>Morro Bay SD</u>	1	0	1	0	0
	<u>South County Regional WW Authority</u>					
<u>SCRWA Reclaiming WW Facility</u>	<u>Authority</u>	1	0	0	1	0
<u>Pismo Beach WWTP</u>	<u>Pismo Beach City</u>	1	0	0	1	0
<u>Price Canyon Production Facility</u>	<u>Freeport-McMoRan Oil & Gas</u>	1	0	0	1	0
<u>Santa Cruz WWTP</u>	<u>Santa Cruz City</u>	1	0	1	0	0
Total		3052	9	1469	1479	0

Violation: An instance of noncompliance

Class 1 violations are violations that pose an immediate and substantial threat to water quality and that have the potential to cause significant detrimental impacts to human health or the environment. Violations involving recalcitrant parties who deliberately avoid compliance are also considered class 1.

Class 2 violations are violations that pose a moderate, indirect, or cumulative threat to water quality. Negligent or inadvertent noncompliance with the potential to cause or allow the continuation of unauthorized discharge or obscuring past violations are also class 2 violations.

Class 3 violations are violations that pose only a minor threat to water quality and include statutorily required liability for late reporting when such late filings do not result in causing unauthorized discharge or allowing one to continue. This class of violations should only include violations by dischargers who are first time or infrequent violators.

Unclassified Violations: Violations entered by dischargers or data entry staff and not yet validated by technical staff.

Priority Violation: No longer applicable after 5/20/2010. Under the former Enforcement Policy, Water Boards ranked violations as either priority or not priority.

- | | | |
|----------------|-------------------------------------|--|
| Region: | 1 - North Coast | 5S - Central Valley, Sacramento Office |
| | 2 - San Francisco Bay | 6T - Lahontan, Tahoe Office |
| | 3 - Central Coast | 6V - Lahontan, Victorville Office |
| | 4 - Los Angeles | 7 - Colorado River |
| | 5F - Central Valley, Fresno Office | 8 - Santa Ana |
| | 5R - Central Valley, Redding Office | 9 - San Diego |

[[Water Board Map](#)]

The current report was generated with data as of 04/28/2015

Enforcement Orders Report

SEARCH CRITERIA:

Region (3), Program (NPDES, NPDMUNILRG, NPDMUNIO, WDRMUNILRG), Effective/Issued between 5/1/2010 and 12/31/2015, Status (Active, Historical)

Enforcement ID	Agency	Facility	Effective Date	Status	Program Type	Order Number	Enf. Action Type	Title	Document & Description
371815	City of El Paso de Robles	Paso Robles WWTP	06/15/2010	Historical	NPDMUNILRG	R3-2010-0032	Admin Civil Liability	Stipulated ACL R3-2010-0032 for Paso Robles WWTP	Paso Robles Stipulated ACL Order EPL final.pdf ExhibitB.pdf ExhibitC.doc.pdf Reissued Expedited Payment Letter Paso Robles.pdf Order and Stipulation for ACL in settlement of EPL R3-2009-0068
371816	Cuyama Community Services District	Cuyama CSD WWTP	10/12/2010	Historical	NPDMUNIO	R3-2010-0023	Admin Civil Liability	Stipulated ACL R3-2010-0023 Resolving EPL R3-2009-0066 for Cuyama WWTP	CuyamaCPrequest.pdf Cuyama EPL ACLO-Stmnt 2010-0023 trans ltr.pdf 2009_0066_cuyama_csd_epl_offer.pdf Settlement and Stipulated ACL Order R3-2010-0023 resolving EPL Offer R3-2009-0066, including Compliance Project to direct \$18,000 to WWTP Disinfection Project. 2009_0071_san_simeon_csd_epl_offer.pdf Satisfaction of Order ACL R3-2010-0033 SEP.pdf SanSimeonSEPRequest.pdf 2010_0033_san_simeon_csd_acl.pdf
371813	San Simeon CSD	San Simeon WWTP	11/18/2010	Historical	NPDMUNIO	R3-2010-0033	Admin Civil Liability	ACL R3-2010-0033 for SAN SIMEON CSD	Settlement and Stipulated ACL Order R3-2010-0033 resolving EPL Offer R3-2009-0071, including Supplemental Environmental Project to direct \$6,000 to WWTP Tertiary Treatment Facilities Construction Project.
377300	Santa Barbara City PWD	El Estero WWTP NPDES	04/07/2011	Historical	NPDMUNILRG	R3-2011-0011	Admin Civil Liability	ACLO R3-2011-0011 Resolving MMP EPL for City of Santa Barbara, El Estero WWTP	EPL R3-2011-0011 El Estero WWTP.pdf Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2010-0011 as of 12/13/2010 subject to MMP. Proposes to address 6 violations with penalty of \$18,000. Discharger signed waiver 2/9/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$18,000 on 4/19/11. Acct. rec'd check in Sac 4/21/11. EPL 2011-0012 Order to Lompoc w invoice 4-7-11.pdf
377296	Lompoc City	Lompoc Regional WRP	04/07/2011	Historical	NPDMUNILRG	R3-2011-0012	Admin Civil Liability	ACLO R3-2011-0012 Resolving MMP EPL for City of Lompoc WWTP	Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2006-0037 as of 12/13/2010 subject to MMP. Proposes to resolve 13 MMP violations with penalty of \$39,000. Discharger signed waiver 2/23/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$39,000 on 4/19/11. Acct. rec'd check in Sac 4/21/11. EPL 2011-0011 Order to City of SB w invoice 4-7-11.pdf
377300	Santa Barbara City PWD	El Estero WWTP NPDES	04/07/2011	Historical	NPDMUNILRG	R3-2011-0011	Admin Civil Liability	ACLO R3-2011-0011 Resolving MMP EPL for City of Santa Barbara, El Estero WWTP	Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2010-0011 as of 12/13/2010 subject to MMP. Proposes to address 6 violations with penalty of \$18,000. Discharger signed waiver 2/9/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$18,000 on 4/19/11. Acct. rec'd check in Sac 4/21/11. EPL R3-2011-0012 Lompoc WWTP.pdf
	Lompoc	Lompoc Regional				R3-2011-	Admin Civil	ACLO R3-2011-0012 Resolving MMP EPL for City of Lompoc	Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2006-0037 as of 12/13/2010 subject to MMP. Proposes to resolve 13 MMP violations with penalty of \$39,000. Discharger signed waiver 2/23/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$39,000 on 4/19/11. Acct. rec'd

377296	City	WRP	04/07/2011	Historical	NPDMUNILRG 0012	Liability	WWTP	check in Sac 4/21/11.
							ACLO R3-2011-0011	2011_0011_sbarb_civ_epl_signed_waiver_2_9_11.pdf
							Resolving	Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2010-0011 as of 12/13/2010 subject to MMP. Proposes to address 6 violations with penalty of \$18,000. Discharger signed waiver 2/9/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$18,000 on 4/19/11. Acct. rec'd check in Sac 4/21/11.
377300	Santa Barbara City PWD	El Estero WWTP NPDES	04/07/2011	Historical	NPDMUNILRG 0011	R3-2011-0011	Admin Civil Liability	WWTP
								2011_0012_lompoc_epl_signed_waiver_2_23_11.pdf
							ACLO R3-2011-0012	Offer to participate in expedited payment program to resolve NPDES violations of WDR R3-2006-0037 as of 12/13/2010 subject to MMP. Proposes to resolve 13 MMP violations with penalty of \$39,000. Discharger signed waiver 2/23/11. After 30-day public comment (none received), order issued 4/7/11. Discharger submitted check for \$39,000 on 4/19/11. Acct. rec'd check in Sac 4/21/11.
377296	Lompoc City	Lompoc Regional WRP	04/07/2011	Historical	NPDMUNILRG 0012	R3-2011-0012	Admin Civil Liability	WWTP
								R3-2011-0213_TSO_Transmittal.pdf TSO_R3-2011-0213.pdf
							TSO R3-2011-0213	TSO establishes interim monthly average total nitrogen effluent limit of 59 mg/L as Nitrogen and compliance time schedule for treatment facility upgrades that will enable the Discharger's compliance with NPDES permit R3-2011-0002 as adopted May 5, 2011.
379968	City of El Paso de Robles	Paso Robles WWTP	07/05/2011	Active	NPDMUNILRG 0213	R3-2011-0213	Time Schedule Order	WWTP
								CMC Stmnt & ACLO R3-2012-0042 trans ltr.pdf CMC Stmnt & ACLO R3-2012-0042 Order.pdf
							ACL R3-2012-0042	MMP Order resolves NPDES violations of WDR R3-2006-0032 as of 11/30/2011 subject to MMP.
380294	Ca Dept of Corrections San Luis Obispo	California Men's Colony WWTP	12/19/2011	Historical	NPDMUNILRG 0042	R3-2012-0042	Admin Civil Liability	Corrections San Luis Obispo
								Addresses 35 violations with penalty of \$105,000, divided into \$45,000 to CAA and \$60,000 to SEP (funding CCAMP).
								ACLO R3-2012-0041 for So Si Co SD.pdf
385739	South San Luis Obispo CSD	South San Luis Obispo Sd CS(+)	10/03/2012	Active	NPDMUNILRG 0041	R3-2012-0041	Admin Civil Liability	CO SD
								ACL Order for \$1,109,812.80 for December 2010 SSO (approximately 1,139,825 gallons) to waters of the state and U.S. in violation of WDR 2006-0003-DWQ, NPDES R3-2009-0046, CWA 301, and Water Code 13376. Region 3 staff received petition on 11/5/12, and petition dated 11/1/12
								SJB Stmnt & ACLO R3-2012-0040 trans ltr.pdf MMP ACL R3-2012-0040 for SJB WWTP Final with Attachments.pdf
							ACL R3-2012-0040	Expedited Payment Program Offer R3-2010-0045 transmitted to discharger to resolve MMP for 13 serious violations spanning 5/31/2009 - 6/30/2010 for a total liability of \$39,000. Discharger responded with signed waiver and request for SEP. During SEP development, parties extended violation date range to include 38 violations up to 6/30/2012, and liability of \$114,000, and documented settlement in Stipulated ACL R3-2012-0040.
376304	San Juan Bautista City	San Juan Bautista WWTP	11/28/2012	Historical	NPDMUNILRG 0040	R3-2012-0040	Admin Civil Liability	Bautista
								Heritage Ranch MMP EPL R3-2013-0026 combined.pdf
							ACL R3-2013-0026	EPL Offer to resolve 5 MMP violations from 9/30/2011 through 7/24/2012. Discharger has until 4/11/13 to respond. Discharger submitted signed waiver/acceptance 3/26/13. Posted for public comment on 4/8/13, ended 5/8/13 without comment.
389486	Heritage Ranch CSD	Heritage Ranch WWTP	05/14/2013	Historical	NPDMUNIOTH 0026	R3-2013-0026	Admin Civil Liability	HERITAGE RANCH CSD
								SBarb MMP EPL R3-2013-0022 Order with Attachments.pdf
							ACL R3-2013-0022	EPL for 32 MMP violations ranging from 3/3/09 to 5/4/12. Discharger has until April 2, 2013 to respond. Discharger submitted signed waiver/acceptance 3/22/13. Posted for public comment on 4/8/13, ended 5/8/13 without comment.
389320	Santa Barbara City PWD	El Estero WWTP NPDES	05/14/2013	Historical	NPDMUNILRG 0022	R3-2013-0022	Admin Civil Liability	SANTA BARBARA CITY PWD
								Heritage Ranch MMP EPL R3-2013-0026 Order with Attachments.pdf
							ACL R3-2013-0026	EPL Offer to resolve 5 MMP violations from 9/30/2011 through 7/24/2012. Discharger has until 4/11/13 to respond. Discharger submitted signed
	Heritage	Heritage				R3-	Admin	HERITAGE

389486	<u>Ranch CSD</u>	<u>Ranch WWTP</u>	05/14/2013	Historical	NPDMUNIOTH	2013-0026	Civil Liability	RANCH CSD	waiver/acceptance 3/26/13. Posted for public comment on 4/8/13; ended 5/8/13 without comment. SBarb MMP EPL R3-2013-0022 SEP Payment for CCAMP-GAP 5-22-13.pdf
389320	<u>Santa Barbara City PWD</u>	<u>El Estero WWTP NPDES</u>	05/14/2013	Historical	NPDMUNILRG	R3-2013-0022	Admin Civil Liability	SANTA BARBARA CITY PWD	ACL R3-2013-0022 EPL for 32 MMP violations ranging from 3/3/09 to 5/4/12. Discharger has until April 2, 2013 to respond. Discharger submitted signed waiver/acceptance 3/22/13. Posted for public comment on 4/8/13; ended 5/8/13 without comment. ACL R3-2013-0025 CMC SEP 45K Payment to BFMB Rec'd 6-21-13.pdf EPL for 25 MMP violations ranging from 10/12/11 through 10/2/12. Discharger waived hearing April 16, 2013. Publicly noticed the proposed Order from April 17, 2013, through May 19, 2013, and received no comments. Lompoc MMP EPL R3-2013-0037 Executed Order.pdf EPL for 3 MMP violations from 6/8/11 through 10/14/12. Proposed Order publicly noticed from April 17, 2013, through May 19, 2013, and received no comments.
389423	<u>Ca Dept of Corrections San Luis Obispo</u>	<u>California Men's Colony WWTP</u>	05/23/2013	Historical	NPDMUNILRG	R3-2013-0025	Admin Civil Liability	Dept of Corrections San Luis Obispo	ACL R3-2013-0025 CMC MMP EPL R3-2013-0025 Executed Order.pdf EPL for 25 MMP violations ranging from 10/12/11 through 10/2/12. Discharger waived hearing April 16, 2013. Publicly noticed the proposed Order from April 17, 2013, through May 19, 2013, and received no comments.
389830	<u>Lompoc City</u>	<u>Lompoc Regional WRP</u>	05/23/2013	Historical	NPDMUNILRG	R3-2013-0037	Admin Civil Liability	City of Lompoc	ACL R3-2013-0037 EPL for 3 MMP violations from 6/8/11 through 10/14/12. Proposed Order publicly noticed from April 17, 2013, through May 19, 2013, and received no comments.
389423	<u>Ca Dept of Corrections San Luis Obispo</u>	<u>California Men's Colony WWTP</u>	05/23/2013	Historical	NPDMUNILRG	R3-2013-0025	Admin Civil Liability	Dept of Corrections San Luis Obispo	ACL R3-2013-0025 CMC MMP EPL R3-2013-0025 Executed Order.pdf EPL for 25 MMP violations ranging from 10/12/11 through 10/2/12. Discharger waived hearing April 16, 2013. Publicly noticed the proposed Order from April 17, 2013, through May 19, 2013, and received no comments.
399710	<u>San Luis Obispo City</u>	<u>San Luis Obispo WWTP</u>	12/01/2014	Active	NPDMUNILRG	R3-2014-0036	Time Schedule Order	Luis Obispo City WWTP	TSO R3-2014-0036 for San Luis Obispo City WWTP 10-08-2014_TSO_R3-2014-0036_City_SLO.pdf TSO for NPDES permit R3-2014-003 addressing THM and Nitrate effluent limitations Carp Att A FINAL PDF Carp ACLC FINAL PDF Methodology Spreadsheet.pdf Carpinteria MMPs.pdf Carp - cover letter.pdf
400054	<u>Carpinteria SD</u>	<u>Carpinteria SD WWTP</u>	03/02/2015	Active	NPDMUNILRG	R3-2015-0011	Admin Civil Liability	Carpinteria SD	ACL R3-2015-0011 Alleges discharger discharged 297,896 gallons of undisinfected secondarily treated effluent through its ocean outfall in violation of NPDES permit, and committed five other effluent violations subject to MMP.

Enforcement ID: A unique system identifier for the regulatory measure

Effective Date: The date an enforcement became effective. This can be the Adoption date or the Issuance Date

Program: The Water Boards use the program for billing and for organizing workload

AGT - Above Ground Tanks

CER - 401 Certification: Clean Water Act Section 401 Certification; regulation of dredge and fill projects

CONSTW - Regulates discharges of storm water related to construction activities to waters of the United States

INDSTW - Regulates discharges of storm water related to industrial activities to waters of the United States

IRRI - Irrigated Lands: This program regulates discharges from irrigated agricultural lands, from both irrigation flows and stormwater runoff

Non Point Source: Some of our federal timber harvest areas are regulated under the non-point source program

LNDISP - The Land Disposal program regulates solid waste discharge to land for treatment, storage and disposal in waste management units. Waste management units include waste piles, surface impoundments, and landfills

MNSTW1 - Regulates discharges of storm water from facilities under a Municipal Stormwater Phase 1 permit to waters of the United States

MNSTW2 - Regulates discharges of storm water from facilities under the Municipal Stormwater Phase 2 permit to waters of the United States

NON15 - Nonsubchapter 15: - While this program encompasses a wide range of discharges, it typically includes discharges to groundwater through land application.

NPDES - National Pollutant Discharge Elimination System. This is a federal program that regulates discharges of wastewater to waters of the United States

SLIC - Spills, Leaks, Investigations, and Cleanup. Most information about this program is found in the [GeoTracker Database](#)

TANKS - The Underground Storage Tank program protects the public and the environment from petroleum and other hazardous substances releases from tanks. Most information about this program is found in the [GeoTracker Database](#)

TH - Timber Harvest: The regulation of discharges from timber harvest activities, including logging, road construction, and herbicide application

UNREGS - Related to an unregulated site

Order No. - Number assigned when a Water Board adopts an action

Enforcement Action Type - The type of enforcement action taken by the Board

13267 Letter - Requests for technical reports and/or investigation

13308 Enforcement Action - Time schedule order that prescribes a civil penalty if compliance is not achieved in accordance with the time schedule

1st NNC - First Notice of Stormwater Noncompliance

2nd NNC - Second Notice of Stormwater Noncompliance

Administrative Civil Liability - Liabilities imposed by the Regional or State Water Board

Cease and Desist Order - Order that directs the discharger to comply in accordance with a time schedule, or in the event of a threatened violation, take appropriate remedial or preventive action

Cleanup and Abatement Order - These Orders require the discharger to clean up the waste or abate the effects of the waste, or both, or to take other remedial action.

Notice of Non-Compliance for Non-Fileers (NF) - Notice to Comply specific to dischargers that have not submitted an application for coverages under a storm water permit

Notice of Stormwater Noncompliance - Water Code Section 12299.25 et seq. requires the Water Boards to provide a notice of noncompliance to any stormwater dischargers who have failed to file a notice of intent, notice of non-applicability, construction certification, or annual report

Notice to Comply - Notices to Comply deal with statutorily defined "minor" violations per Water Code Section 13399 et seq.

Referrals (various) - For resolution by another agency

Settlement Court Order - Not necessarily a Water Board initiated action, this is any Court Settlement that mentions the Water Board as part of the settlement

Time Schedule Order - Requires the discharger to submit a time schedule listing actions the discharger will take to address actual or threatened discharges of waste in violation of requirements

Waste Discharge Requirements - Rescission of WDRs in response to violations

Title - Text field in CIWQS that acts as a summary (for SMARTS storm water records, it displays the WDID)

Document & Description This field contains the link to the documents uploaded into the regulatory databases and more details about the action

The current report was generated with data as of: 04/28/2015

